

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street) ▼

1201 F Street, NW Suite 450

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☒ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cecilia Guarin

Signature of Treasurer

Cecilia Guarin

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 01 / 01 / 2016 To: M M / D D / Y Y Y Y Y Y 01 / 31 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2016		316582.93
(b) Cash on Hand at Beginning of Reporting Period.....	316582.93	
(c) Total Receipts (from Line 19)	27152.50	27152.50
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	343735.43	343735.43
7. Total Disbursements (from Line 31)	0.00	0.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	343735.43	343735.43
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M M M	/	D D D	/	Y Y Y Y Y
01	/	01	/	2016

To:

M M M	/	D D D	/	Y Y Y Y Y
01	/	31	/	2016

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

9406.39

9406.39

(ii) Unitemized

17746.11

17746.11

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

27152.50

27152.50

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

27152.50

27152.50

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

27152.50

27152.50

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

27152.50

27152.50

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) (i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6) (i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	0.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	0.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	27152.50	27152.50
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	27152.50	27152.50
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James F Martin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

216.89

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 15 / 2016

Transaction ID : 13860731

Amount of Each Receipt this Period

216.89

Full Name (Last, First, Middle Initial)

B. James F Martin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

406.47

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 30 / 2016

Transaction ID : 13861264

Amount of Each Receipt this Period

189.58

Full Name (Last, First, Middle Initial)

C. Gerald M Lodge

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR113249911539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

614.79

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 7 OF 18
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Douglas L Paul

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113252511539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Lewis H Wirshba

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113254611539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Michael Paliotta

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113256511539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

625.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 8 OF 18

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Philip S Vasan

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113260811539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas E Fusco

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113263011539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Edmund F Taylor

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR113263611539

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

833.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER: PAGE 9 OF 18
 (check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Orlando W Knauss

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR113264611539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Mark Barres

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR113278111539

Amount of Each Receipt this Period

416.68

P/R Deduction (\$208.34 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. James L Amine

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR123642711539

Amount of Each Receipt this Period

416.68

P/R Deduction (\$208.34 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

1041.70

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 10 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert L Basso

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123643411539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Stephen R Dunne

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123648111539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Stephen E. Gray

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123650811539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

624.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen H Haratunian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123652211539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Robert Jain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123653211539

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR123659011539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

833.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy D Bock

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

01 / 31 / 2016

Transaction ID : PR126927711539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Timothy P O'Hara

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2016

Transaction ID : PR126928011539

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. David Scott Lindsay

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

01 / 31 / 2016

Transaction ID : PR178715111539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

833.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert S Shafir

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2016

Transaction ID : PR384788911539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Margaret M Gage

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

01 / 31 / 2016

Transaction ID : PR393160211539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Stephanie M Ruiz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

01 / 31 / 2016

Transaction ID : PR393164511539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

625.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 14 OF 18

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey D Jennings

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	1		2	0	1	6		

Transaction ID : PR438244211539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Matthew Tuck

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	1		2	0	1	6		

Transaction ID : PR438253711539

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Scott W Soules

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	1		2	0	1	6		

Transaction ID : PR674439011539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

833.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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PAGE 15 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Nelson M Louie

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR679682411539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Jay Kim

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR711935411539

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Carmine C Capossela

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR822527511539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

625.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 18
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark Frank Brown

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR826797311539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas Joseph Koffer

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR826797811539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. John G Popp

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
 01 / 31 / 2016

Transaction ID : PR826798511539

Amount of Each Receipt this Period

333.34

P/R Deduction (\$166.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

750.02

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 18

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. David Wong

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR826799211539

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. David H Hammond

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR830059611539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Kevin E Lowe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y Y
01 / 31 / 2016

Transaction ID : PR830060111539

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

833.34

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 18 OF 18

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Warren S Young

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.34

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	6

Transaction ID : PR850015211539

Amount of Each Receipt this Period

333.34

P/R Deduction (\$166.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

333.34

TOTAL This Period (last page this line number only)..... ►

9406.39